



IFW/DAC/

JP920020126US1

Patent No.: 6,896,179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Makoto Satoh, et al.

Issued: 05/24/05 : IBM Corporation  
USPTO Contact: Eva James : Intellectual Property Law  
Serial No.: 10/615,437 : Dept. IQ0A, Bldg. 040-3  
Filed: 07/08/2003 : 1701 North Street  
Title: COMPUTER SYSTEM THAT PREDICTS : Endicott, NY 13760  
IMPENDING FAILURE IN APPLICATIONS  
SUCH AS BANKING

DATE: March 30, 2006

PETITION TO CORRECT THE NAME OF THE ASSIGNEE UNDER 37 C.F.R. 1.183

Commissioner of Patents and Trademarks

Mail Stop Petitions

Alexandria, VA 22313-1450

1. I hereby petition to correct the name of the assignee printed on the face of U.S. Patent 6,896,179 issued 05/24/2005.
2. I hereby state that the correct name and address of the assignee is:  
International Business Machines Corporation, Armonk, NY (US) as filed 10/10/03  
recorded on reel/frame 014584/0405 and Bank of Tokyo-Mitsubishi, Tokyo (Japan) as  
filed 09/07/04 recorded on reel/frame 015793/0928.
3. Please note that the assignee name was incorrectly listed (Applicants' mistake) on PTOL-85 form, causing the incorrect assignee name on the face of the printed patent.
4. In consideration of these submissions, it is respectfully requested that this Petition be granted.

Patent No.: 6,896,179

04/05/2006 HDETA1 0000083 090457 10615437  
01 FC:1462 400.00 DA

5. The petition fee (37 C.F. R 1.17 - \$130) is paid as follows:

  X   Authorization is hereby made to charge the amount of \$130.00  
  X   to Deposit Account No. 09-0457.

6. Applicant requests that the file be forwarded to Certificates or Corrections Branch, for issuance of a Certificate of Correction, if this Petition is granted.

Respectfully submitted,

Dated: 03/30/06

By: John R. Pivnichny  
John R. Pivnichny  
Reg. No. 43,001

Telephone: 607-429-5738

Fax: 607-429-4119

Enclosures

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **Makoto Satoh et al**

Docket No.

**JP920020126US1**

Application No.

**10/615,437**

Filing Date

**07/08/2003**

Examiner

**Allyson Purnell**

Customer No.

**26502**

Group Art Unit

**2876**Invention: **COMPUTER SYSTEM THAT PREDICTS IMPENDING FAILURE IN APPLICATIONS SUCH AS****BANKING****APR 04 2006**I hereby certify that this **Pet. to Correct Name of Assignee, Cert. of Corr., Issue Fee Payment, Post Card***(Identify type of correspondence)*

is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope

addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**03/31/06***(Date)***Georgia Y. Brundage***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,896,179 B2

DATED : 05/24/05

INVENTOR(S) : Makoto Satoh et al

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Item (73) should be corrected to read:

Assignee: International Business Machines Corporation, Armonk, NY (US) and Bank of Tokyo-Mitsubishi, Tokyo (Japan)

MAILING ADDRESS OF SENDER: John R. Pivnichny  
IBM Corporation IP Law Dept. IQ0A/Bldg 40-3  
1701 North Street  
Endicott, NY 13760

PATENT NO. 6,896,179 B2

No. of additional copies



## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISS' FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

APR 04 2006

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

26502

7590

01/27/2005

IBM CORPORATION  
IPLAW IQ0A/40-3  
1701 NORTH STREET  
ENDICOTT, NY 13760

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/615,437	07/08/2003	Makoto Satoh	JP920020126US1	7457

TITLE OF INVENTION: COMPUTER SYSTEM THAT PREDICTS IMPENDING FAILURE IN APPLICATIONS SUCH AS BANKING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	04/27/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
TRAIL, ALLYSON NEEL	2876	235-379000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 John R. Pivnichny

2 David R. Irvin

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

International Business Machines Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Armonk, NY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 09-0457 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

John R. Pivnichny

Date

02/14/05

Typed or printed name

John R. Pivnichny

Registration No. 43,001

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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